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DRAWBACK DISKETTE / ABI AUTOMATED SYSTEM (ACS) ERROR MESSAGE DICTIONARY (VERSION 9   04-23-2002)

(THIS VERSION INCLUDES CHANGES FOR USE OF EARLIEST EXPORT DATE INFORMATION IN SUPPORT OF THE PLANNED "PAPERLESS" DRAWBACK PROTOTYPE.

THE TERM _CLAIM_ AS USED IN THIS DOCUMENT REFERS TO THE <u>HARD COPY PAPER CLAIM</u>. THE TERM _CLAIM SUMMARY_ OR _CLAIM DATA_ REFERS TO THE DATA TRANSMITTED VIA DISKETTE OR ABI.

The Drawback Diskette mainframe program and ABI can produce various error messages depending on the conditions encountered. We have attempted to break these messages down into categories of error with explanations of what the messages really mean and how to rectify them through disk rejection or ABI data correction. The result is the following guideline. **NOTE: For both diskette and ABI transmissions, REJECTIONS result in NO data being written to the ACS files. For ABI, since the PAPER CLAIM is still required, the TRANSMITTED data will be rejected by the system, but this is NOT a rejection of the claim itself. NOTE, HOWEVER, CLAIMS WHICH INDICATE "ABI" ARE NOT TO BE SUBMITTED TO CUSTOMS UNTIL AN "ERROR FREE" TRANSMISSION RESULT HAS BEEN RECEIVED.   IF "ABI" IS INDICATED AND THE CLAIM DATA IS NOT ALREADY ON FILE IN ACS, THE CLAIM WILL BE RETURNED.**

As a rule, we will not automatically reject diskettes back to filers if we cannot explain what to fix, or if the filer cannot fix the problem. Some of the conditions causing these error messages are only fixable by Customs. We have identified these to our field offices.

There are also certain situations where claims are submitted with diskettes that are either **blank**, **unreadable,** or otherwise somehow **defective** in nature. Obviously, Customs cannot correct these diskette situations. Under such conditions, as long as the **paper claim** is satisfactory, the diskette will be rejected, but the claim will **not** be rejected and the original filing date **will** **be** **retained**.

This document should obviate the need for many of the phone calls for both the field and headquarters.

<u>**SPECIAL NOTE REGARDING DATE OF CLAIM**</u>:

The date on which Customs formally accepts the legal paper claim as valid is the <u>OFFICIAL DATE OF ENTRY</u> for a drawback claim.

<u>**ERROR IDENTIFICATION**</u>

When ACS detects an error in the mainframe disk application or ABI, the error and the program input line where the error occurs are returned in the RESULTS file to the PC or directly to the filer via the ABI **'JL'** transaction. The mainframe records are identified with the letter **'D'** and the numbers **'10'**, **'11'**, **'12'**, **'20'**, '**25**', **'30'**, **'40'**, **'41'**, **'50'**, and **'90'**. The record where the error occurred is returned immediately above any applicable error message. If there are multiple errors on a record, the record is returned once with **ALL** applicable errors returned immediately thereafter. These mainframe records correspond to the diskette records as follows:

'D10' and 'D11' are derived from the 'CH' CLAIM HEADER RECORD on the diskette. The 'D10' record contains everything from the header except the **first** contract number, description, and petroleum indicator. The 'D11' record contains the description, first contract number, and the petroleum indicator. If there are **multiple** contracts, any beyond the first will be shown in one or more 'D12' record(s) in ABI or if the 'CN' CONTRACT TRAILER RECORD was used on the diskette (one 'D12' record for each 'CN' TRAILER).

'D20' is derived from the 'TN' IMPORT TARIFF NUMBER TRAILER RECORD and 'D25' is derived from the 'SN' SCHEDULE B NUMBER TRAILER RECORD from the diskette records.

'D30' is derived from the 'IT' IMPORT TRAILER RECORD and 'D40' is derived from the 'CM' CM TRAILER RECORD on the diskette. 'D41' is also from the 'CM' TRAILER RECORD and is only for the DESCRIPTION from the 'CM' disk record.

'D50' is derived from the 'CI' NAFTA COUNTRY IMPORT TRAILER RECORD on the diskette and is used for NAFTA claims to Canada or Mexico where the "lesser of" applies.

'D90' is derived from the 'CT TRAILER RECORD of the diskette and consists of the total claimed duty and tax amounts, as well as the total 'CN', 'TN', 'SN', 'IT', and 'CM' record counts.

To identify the **ERROR CODES** that apply to the messages, look at the 3 characters in the record IMMEDIATELY PRECEDING the text of the message:
(E.g. - **485**REFERENCE # 4811 NOT ON FILE or
(**ACP**IMPORTER NUMBER IS INACTIVE)

Note that whenever there is an error on a diskette or ABI transmission, the 'D10' record is always returned. If you start with the 'D' and count 5 positions to the right, this is the start of the drawback claim number.

Lastly, if there are any errors, there is a final message, which tells you that the drawback claim was **REJECTED**. For **WARNINGS** only, the data will be **ACCEPTED.**

SPECIAL NOTE REGARDING DISKETTE ERRORS THAT ARE NOT GENERATED BY ABI

Please note that we have added an appendix to the end of this listing. The appendix is a list of error messages that are generated ONLY by the diskette program. These messages are generated BEFORE the data is transmitted to the Customs ACS, and an occurrence of any of these messages PROHIBITS the diskette data from being transmitted to the Customs mainframe.

ERROR CATEGORY 1 - GENERAL ACS / PROGRAM ERRORS

ERROR CODE   **DEFINITION**

"Z" RECORD MISSING OR INVALID

This error has no code and is an ACS program error. It means that for some reason, the PC program did not, or was not able, to correctly format the PC or ABI "Z" control record, or that the ABI transmission contained bad data in the control record. A similar message could be generated for the "A", "B", and "Y" records. All of these are ACS/ABI control messages. Likewise, there is an ABI "B-Y BLOCK COUNT ERROR" message which is similar.

DISKS WILL ONLY BE REJECTED FOR THIS ERROR AFTER USCS HAS RESEARCHED THE PROBLEM TO DETERMINE IF IT IS DISK RELATED.

VN6   **SECURITY VIOLATION/JJ NOT ALLOWED**

This error indicates that the filer's ABI control record in ACS has not been correctly set up. If this message appears on a claim filed via diskette, it will be disregarded by Customs and the field office will contact Headquarters. If this message appears on a claim filed via ABI, the filer should contact their client representative.

THE DISKETTE WILL NOT BE REJECTED FOR THIS ERROR. ABI WILL REJECT THE DATA TRANSMISSION.

VN8   **FLR CANNOT VARY IN B==>Y BLOCK**

This error indicates that the filer code sent on this claim or claims differs from that of the filer sending the data. This error rarely occurs with the diskette, since USCS is submitting the data for the filer. It CAN occur with ABI, for example, where a filer may be sending data for their 3901 office and inadvertently includes a 5301 claim.

THE DISKETTE WILL NOT BE REJECTED FOR THIS ERROR. ABI WILL REJECT THE DATA TRANSMISSION.

0FJ   **RECORD NOT ON FILE**

This error will occur if the filer tries to perform a delete of a claim and the system has no record of the claim. Because there is no data in the system, there is nothing to delete.

THE DISKETTE WILL BE RETURNED TO THE FILER. THERE IS NOTHING ELSE TO REJECT. ABI WILL REJECT THE DATA TRANSMISSION.

999   DB ERROR HAS OCCURRED

This message tells us that there was a problem with the ACS database, or that something caused the program to "blow up."

DISKS WILL ONLY BE REJECTED FOR THIS ERROR AFTER USCS HAS RESEARCHED THE PROBLEM TO DETERMINE IF IT IS DISK RELATED. ABI WILL REJECT THE DATA TRANSMISSION.

8VQ   FATAL DATABASE ERROR

This message is similar to message **999**. There is an internal ACS problem with the program.

DISKS WILL ONLY BE REJECTED FOR THIS ERROR AFTER USCS HAS RESEARCHED THE PROBLEM TO DETERMINE IF IT IS DISK RELATED. ABI WILL REJECT THE DATA TRANSMISSION.

07F   INVALID SYSTEM DATE

This message should rarely, if ever, occur. There is an internal ACS problem with the program that has nothing to do with either the diskette or ABI transmission.

DISKS WILL NOT BE REJECTED FOR THIS ERROR. ABI WILL REJECT THE DATA TRANSMISSION.

DB0   TO DEL DWBK USE JJ TRANSACTION

A drawback claim CANNOT be deleted via the ABI 'EI' entry summary delete capability. To delete a drawback claim via ABI, the 'JJ' transaction must be used.

ABI WILL REJECT THE DATA TRANSMISSION.
B27   FUNCTION CODE INVALID

This is an internal message. It is generally NOT correctable by the filer.

DISKS WILL ONLY BE REJECTED FOR THIS ERROR AFTER USCS HAS RESEARCHED THE PROBLEM TO DETERMINE IF IT IS DISK OR ABI RELATED.

VNP	**D11 RECORD MUST CONTAIN DATA**
DKD	**D12 RECORD MUST CONTAIN DATA**
DK3	**D20 RECORD MUST CONTAIN DATA**
DK4	**D25 RECORD MUST CONTAIN DATA**
VN4	**D41 RECORD MUST CONTAIN DATA**
DKN	**D50 RECORD MUST CONTAIN DATA**

This could be a diskette or ABI problem. It is saying that when USCS formatted the records from the diskette or when the data was sent via ABI, no data was present in the given record. If the diskette has no data, the PC program should stop it before transmission; however, there may have been data there, but it was bad data. If you know how to read the diskette from the PC (using FILE MANAGER, DOS EDITOR, etc.), do so and see how it looks.

DISKS WILL ONLY BE REJECTED FOR THIS ERROR AFTER USCS HAS RESEARCHED THE PROBLEM TO DETERMINE IF IT IS DISK RELATED. ABI WILL REJECT THE DATA TRANSMISSION IF ANY OF THESE RECORDS ARE USED BUT CONTAIN NO DATA.

VN5	**DESCRIPTION MUST BE > 1 CHARACTER**

While DESCRIPTION fields are currently OPTIONAL data, if a filer does input descriptions, they must be more than a single character.

DISKETTE WILL BE REJECTED FOR CORRECTION.   ABI WILL REJECT THE DATA TRANSMISSION.

VLF	**GREATER THAN 9,999 ITEMS SENT**

The TOTAL of all import entry and CM records exceeds the maximum of 9,999. That

number is the maximum ACS can handle. Filers were instructed to this effect.

CLAIM AND DISKETTE WILL BE REJECTED AND WE WILL ASK THAT IT BE BROKEN INTO SMALLER CLAIMS. ORIGINAL FILING DATE <u>WILL BE RETAINED</u>. ACCELERATED CANNOT BE PAID UNTIL CORRECTED SINCE ACS CANNOT ACCEPT AN OVERSIZED CLAIM. ABI WILL REJECT THE DATA TRANSMISSION.

DKB	**CONTRACT TRAILER OUT OF SEQUENCE**
DK5	**TARIFF TRAILER OUT OF SEQUENCE**
DK6	**SCHED B TRAILER OUT OF SEQUENCE**
VLG	**IMPORT TRAILER # OUT OF SEQUENCE**
VLH	**CERT MFR TRAILER # OUT OF SEQUENC**
DKO	**NAFTA IMP ENT TRAILER OUT OF SEQ**

These messages mean that the import, contract, CM, import tariff, Schedule B, or NAFTA import entry trailer sequence numbers (0001-9999) are out of sequence (e.g.: 0001, 0003, 0002 instead of 0001, 0002, 0003). Note that contract sequence numbers are 01-99.

Note that contract sequence numbers are 01-99. If only <u>1</u> contract is used on a claim, that contract number should appear in the claim header record. The contract trailer record <u>should not</u> be used <u>unless</u> there are <u>multiple</u> contracts on a claim. The contract trailer counter is <u>NOT</u> a count of contracts, but is a count of the <u>contract trailer records</u>. If only one contract is used, the counter should be 00, since there will be <u>NO</u> trailer records. IF <u>2</u> contracts are used, for example, there will be one contract trailer and the count will be 01. Three contracts would mean 2 trailers and the count would be 02, etc. For imports and Schedule B numbers, trailers are sequenced 0001-2000. For NAFTA country imports, trailers are sequenced 00001-99999.

DISKETTE WILL BE REJECTED FOR CORRECTION.   FOR ABI, THE TRANSACTION WILL BE REJECTED.

DK7	**TOT TARIFF TRAILER COUNT INVALID**
DK8	**TOT SCHED B TRAILER COUNT INVALID**

VLK	TOT IMPORT TRAILER COUNT INVALID
DKE	TOT CONTRACT TRAILER COUNT WRONG
VLL	TOT CERT MFGR COUNT INVALID
DKQ	TOTL NAFTA IMP TRAILER CNT WRONG

These messages mean that the sum of all of the diskette 'TN' IMPORT TRAIFF TRAILER. 'SN' SCHEDULE B NUMBER TRAILER, 'IT' IMPORT TRAILER, 'CN' CONTRACT TRAILER, 'CM' IMPORT TRAILER, or 'CI' NAFTA IMPORT TRAILER records, or ABI 'D12', 'D20', 'D'25', 'D30', 'D40', or 'D50' records does not add up to what the **COUNT** is in the 'CT CLAIM TRAILER record, or ABI 'D90' record. Because it does not add up, we cannot know whether the total count is simply wrong, or the total count is right and we are missing records.

DISKETTE WILL BE REJECTED FOR CORRECTION.   ABI WILL REJECT THE DATA TRANSMISSION.

VOE	D10 FIELDS MUST MATCH FILED ENTRY
VOF	D90 FIELDS MUST MATCH FILED ENTRY

These messages can occur if the claim was MANUALLY input prior to the diskette or ABI being transmitted. As we get further along, these will become fewer. However, they indicate that there are meaningful differences between what was keyed from the claim and what was on the diskette or ABI. For 'D10', virtually all fields must match what was input except for dates and money amounts. For 'D90', it means that there is a duty and/or tax amount mismatch between the diskette and what was keyed from the claim.

PRIOR TO ANY REJECTION, USCS WILL RESEARCH THE PROBLEM. IF WE DETERMINE THAT WHAT WAS INPUT WAS CORRECT BASED ON THE PAPER CLAIM AND THE INFORMATION ON THE LEGAL CLAIM DOES <u>NOT</u> MATCH THE DISK, THE DISKETTE <u>WILL</u> BE REJECTED FOR CORRECTION.   ABI WILL REJECT THE DATA TRANSMISSION.

4BL	ENTRY WAS NOT ORIGINALLY ABI

Although the diskette program is not ABI and the claims are not ABI, we use similar programming as the source of claim creation. IF the claim has been COMPLETELY input MANUALLY and records exist at the time the ABI or diskette jobs are run, the diskette and

ABI WILL NOT PERMIT the overlay of data.

ASSUMING THE LEGAL CLAIM AND THE MANUALLY INPUT SUMMARY DATA ARE CORRECT, THE DISKETTE WILL BE RETURNED. THERE WILL BE NO REJECTION. ACCELERATED WILL BE PAID IF CLAIMED AND ELIGIBLE. ABI WILL REJECT THE TRANSMISSION.

P11   FIELD MUST BE NUMERIC

531   NON-NUMERIC IN NUMERIC FIELD

These mean simply that a non-numeric character was placed in a field that is defined to the computer as numeric. We will review the offending record to determine which field is in error.

DISKETTE WILL BE REJECTED AFTER USCS ASCERTAINS THE PROBLEM. ABI WILL REJECT THE TRANSMISSION.

564   LINE MISSING/OUT OF SEQ/INVALID

This means that one of the records was out of sequence or was missing. For example, we have a 'CH'('D10') followed by a 'CT ('D90') followed by an 'IT'('D30') instead of 'CH'('D10'), 'IT'('D30'), 'CT'('D90'). You must look at the records to determine what is wrong with the sequence or what is missing. The correct sequence of records for the diskette (or ABI) is: 'CH' ('D10', 'D11'), 'CN' ('D12') (if used), 'TN' ('D20') (if tariffs reported), 'SN' ('D25') (if Schedule B numbers reported), 'IT' ('D30') (if import entries used), 'CM' ('D40', 'D41') (if CM's designated), 'CI' ('D50') (for NAFTA), 'CT' ('D90'). If in doubt, contact HQ.

For the SCHEDULE B NUMBERS ('SN' or 'D20'), this message will be generated in the future for claims submitted on or after 4/6/2003 if NO 'D25' record is given.

DISKETTE WILL BE REJECTED AFTER USCS ASCERTAINS THE PROBLEM.   ABI WILL REJECT THE TRANSMISSION.

ERROR CATEGORY 2 - CLAIMANT/AGENT (CF4811) ERRORS

FOR <u>ALL</u> OF THE FOLLOWING ERRORS, ABI OR DISKETTE <u>WILL</u> REJECT THE TRANSMISSION

<u>ERROR CODE</u>	<u>DEFINITION</u>
ACP	**IMPORTER NUMBER IS INACTIVE**
ACR	**REFERENCE NUMBER INACTIVE**

Both of these messages refer to the IRS/SS/Customs Assigned numbers used on the claim. **ACP** applies to the CLAIMANT ID and **ACR** refers to the AGENT/BROKER (4811) ID. Both messages indicate that the number used is not on the ACTIVE IMPORTER MASTER FILE OF ACS.

YOU WILL BE ADVISED OF THE PROBLEM BEFORE ANY REJECTION. THIS ERROR CAN BE OVERCOME BY HAVING SOMEONE IN CUSTOMS <u>REACTIVATE</u> THE ID NUMBER. ONCE THE NUMBER IS REACTIVATED, THE DISK MAY BE RETRANSMITTED. THE ORIGINAL FILING DATE <u>WILL BE RETAINED</u>, BUT ACCELERATED <u>CANNOT</u> BE PAID UNTIL THE NUMBER IS REACTIVATED.

1F1   **REF.# CF4811 NOT ON IMP. FILE**

485   **REFERENCE # 4811 NOT ON FILE**

646   **IMPORTER NUMBER NOT ON FILE**

The first of these messages (1F1) refers to the fact that the IRS/SS/Customs Assigned number listed as the CF4811 ID NUMBER does NOT exist on the IMPORTER FILE. A CF5106 MUST BE FILED.

The second message (485) means that the IRS/SS/Customs Assigned number listed as the CF4811 ID NUMBER is NOT related on the CF4811 FILE as a valid agent for the CLAIMANT ID NUMBER. NO CF4811 has been filed. A CF4811 MUST BE FILED.

The third message (646) means that the IRS/SS/Customs Assigned number for the CLAIMANT does NOT exist on the IMPORTER FILE. A CF5106 MUST BE FILED.

THE SYSTEM WILL REJECT FOR ANY COMBINATION OF THESE ERRORS. THE DISKETTE WILL BE REJECTED WITH AN EXPLANATION TO FILER TO FILE EITHER/BOTH A CF5106 AND/OR CF4811 (THIS CAN BE DONE ELECTRONICALLY VIA ABI IF THE FILER IS ALSO AN ABI BROKER).

VL9   **DRAWBACK CLAIMANT ID INVALID**

This message indicates that the format of the claimant ID is not a valid IRS/SS/Customs assigned number format. Valid IRS format is NN-NNNNNNNXX (where 'XX' = suffix); SS is NNN-NN-NNNN; Customs Assigned is NNNNNN-NNNNN.

DISKETTE WILL BE REJECTED FOR CORRECTION. ABI WILL REJECT THE TRANSMISSION.

662   **IMPORTER NUMBER IS VOIDED**

828   **REFERENCE NUMBER VOIDED**

At some point, the ID number was voided in ACS and no more activity can be placed against it.

DISKETTE WILL BE REJECTED FOR CORRECTION. ABI WILL REJECT THE TRANSMISSION.

66E   **INVALID 4811-REF NUMBER FORMAT**

487   **INVALID REFERENCE NUMBER FORMAT**

These messages indicate that the format of the claimant ID is not a valid IRS/SS/Customs assigned number format. Valid IRS format is NN-NNNNNNNXX (where 'XX' = suffix); SS is NNN-NN-NNNN; Customs Assigned is NNNNNN-NNNNN.

DISKETTE WILL BE REJECTED FOR CORRECTION. ABI WILL REJECT THE TRANSMISSION.

659   **IMPORTER ON REGION SANCTION LIST**

660   **IMPORTER ON NAT'L SANCTION LIST**

These are the same messages that will appear on import entries when an importer is sanctioned. These will appear if accelerated drawback was claimed.

FOR DRAWBACK, THESE MEAN THAT THE CLAIMANT IS ON EITHER REGIONAL OR NATIONAL SANCTION. THE USUAL PROCEDURES FOR REJECTION FOR SANCTION APPLY TO DISKETTE. WHILE SANCTION IS NOT A REASON TO REJECT THE CLAIM, NO ACCELERATED DRAWBACK WILL BE PAID. THERE WILL BE NO PAYMENT UNTIL LIQUIDATION. ABI WILL REJECT IF ACCELERATED IS CLAIMED.

ERROR CATEGORY 3 - BOND/SURETY ERRORS

FOR <u>ALL</u> OF THE FOLLOWING ERRORS, ABI OR DISKETTE <u>WILL</u> REJECT THE TRANSMISSION

2C3	**INVALID BOND**

2AI	**INVALID BOND TYPE**

These messages indicate that either an incorrect bond type (NOT 1a) was used, or that there is no valid bond (1a) for that claimant.

DISKETTE WILL BE REJECTED FOR CORRECTION.   <u>NO</u> ACCELERATED PAYMENT WILL BE MADE <u>UNTIL</u> CORRECTED.

680	**BOND NOT ON FILE**

This message indicates that there is NO 1a bond on file for the claimant who is trying to obtain a special privilege other than Waiver of Prior Notice.

DISKETTE WILL BE REJECTED FOR CORRECTION.   <u>NO</u> ACCELERATED PAYMENT WILL BE MADE <u>UNTIL</u> CORRECTED.

2C1	**BOND EXPIRED**

The claimant's 1a bond has expired, or is not valid for the date of claim.

DISKETTE WILL BE REJECTED FOR CORRECTION.   <u>NO</u> ACCELERATED PAYMENT WILL BE MADE <u>UNTIL</u> CORRECTED.

AB9	**INVALID ACTIVITY CODE**

The bond is valid, but is for an activity code **OTHER THAN** 1a (drawback). Old code 1a1 bonds are no longer acceptable for drawback.

DISKETTE WILL BE REJECTED FOR CORRECTION.   <u>NO</u> ACCELERATED PAYMENT WILL BE MADE <u>UNTIL</u> CORRECTED.

2AL	**SURETY CODE INVALID**

This means that the surety code given does not exist in ACS, or that the surety code given for a continuous (bond type 8) bond is NOT the surety on file as having issued the bond.

DISKETTE WILL BE REJECTED FOR CORRECTION.   NO ACCELERATED PAYMENT WILL BE MADE UNTIL CORRECTED.

2CN   SURETY NOT VALID FOR BOND TYPE

618   SURETY REVOKED

823   SURETY IS CANCELLED

These indicate that either a surety is not authorized to write a type 1a bond, the surety's authority to write bonds has been revoked altogether, or the surety is canceled and out of the business of bonding (or is out of business - period).

DISKETTE WILL BE REJECTED FOR CORRECTION.   NO ACCELERATED PAYMENT WILL BE MADE UNTIL CORRECTED.

23H   BOND SANCTION IN EFFECT

23M   SURETY SANCTION IN EFFECT

These indicate that either a sanction has been placed against the individual bond, or the surety company itself is under sanction.

THE USUAL PROCEDURES FOR REJECTION FOR SANCTION APPLY TO DISKETTE. NO ACCELERATED DRAWBACK WILL BE PAID. THERE WILL BE NO PAYMENT UNTIL LIQUIDATION.

VJC   CONT BOND INSUFFICIENT: STB REQD

Although this error is theoretically possible, it is highly unlikely to occur on a drawback claim.

THIS ERROR WILL BE REVIEWED CAREFULLY BY USCS PRIOR TO ANY REJECTION AND IS UNLIKELY TO OCCUR.

2C5   NON-GOVT IMPORTER - BOND REQUIRED

This means that a claim was made for either accelerated drawback or exporter's summary procedure without a valid bond, and while a gov't claim was made, the claimant is not a government agency.

DISKETTE WILL BE REJECTED FOR CORRECTION.   <u>NO</u> ACCELERATED PAYMENT WILL BE MADE <u>UNTIL</u> CORRECTED.

ERROR CATEGORY 4 - CLAIM HEADER ERRORS

FOR <u>ALL</u> OF THE FOLLOWING ERRORS, ABI OR DISKETTE <u>WILL</u> REJECT THE TRANSMISSION

VLM   DRAWBACK DELETE CODE INVALID

This message will appear if the filer has used an addition/deletion code of 'D' on the 'CH' header record of the diskette (the 'D10' record for ABI) and the claim is already in a CUSTOMS status. A delete of a claim by a filer can only be honored IF the claim is still in 'ABI' status. All claims created by diskette or via ABI are placed in ABI status by ACS when first accepted error-free. The same logic applies to import entries filed electronically via ABI. Once in CUSTOMS status, they cannot be deleted by the filer.

NOTE: THE CLAIM <u>CANNOT</u> BE DELETED AT ALL IF ACCELERATED DRAWBACK HAS BEEN PAID. IF ACCELERATED HAS BEEN PAID AND THE FILER WISHES TO DELETE, THE CLAIM WILL HAVE TO BE LIQUIDATED "NO DRAWBACK" AND A BILL ISSUED FOR REPAYMENT OF THE ACCELERATED DRAWBACK.

IF ACCELERATED HAS NOT BEEN PAID, BUT THE ENTRY IS IN A CUSTOMS STATUS, IT CAN BE <u>CANCELLED</u>, BUT <u>NOT DELETED</u>.

IF THE ERROR APPEARS, THE FILER WILL BE CONTACTED AND ADVISED OF THE CONDITION.

DKG   CLAIM EXISTS - SUBMIT 'DELETE'

This means that the filer has submitted an 'A' in the header record to perform an ADD or UPDATE of a claim previously submitted and on file. The filer should submit a new diskette or ABI transaction using 'D' to delete the existing record PRIOR to submitting an additional 'A' transaction for a claim. **NOTE: A 'DELETE' can only be performed via diskette or ABI if the claim <u>IS NOT ALREADY IN CUSTOMS STATUS</u>. An ABI or diskette delete cannot be performed, and the entry cannot be canceled, if accelerated has already been paid.**

THE DISKETTE WILL BE REJECTED AND FILER WILL BE ADVISED TO RE-SUBMIT AS A 'DELETE' AND <u>THEN</u> SUBMIT AN 'ADD'. ACCELERATED SHOULD <u>NOT</u> BE PAID <u>UNTIL</u> THE SITUATION IS CLARIFIED (ASSUMING NOT ALREADY PAID).

VLY   DRAWBACK ENTRY NOT FND FOR DELETE

This error will appear if the filer uses the addition/deletion code of 'D' in the 'CH' header record, but the claim record DOES NOT exist in ACS.

THE DISKETTE WILL BE REJECTED AND FILER WILL BE ADVISED THAT THERE IS NO RECORD OF CLAIM SUMMARY. ABI WILL REJECT THE TRANSMISSION.

180   **ENTRY NBR CHECK DIGIT MISMATCH**

The entry number and/or check-digit is incorrect based on the mathematical check-digit computation performed by ACS.

IF THE ENTRY NBR/CHECK-DIGIT AS GIVEN ON THE PAPER CLAIM AGREES WITH THE ERRONEOUS DATA ON THE DISKETTE, BOTH THE CLAIM AND THE DISKETTE WILL BE RETURNED FOR CORRECTION. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED.   ABI WILL REJECT THE TRANSMISSION.

VOB   **EXISTING ENTRY NOT DRAWBACK TYPE**

546   **EXISTING ENTRY IN CUSTOMS STATUS**

These messages can appear when the filer gives us an addition/deletion code of EITHER 'A" or 'D', and the claim number used ALREADY EXISTS in ACS and is NOT a drawback claim (message VOB), or is ALREADY in CUSTOMS STATUS if it IS a drawback claim (message 546).

FOR MESSAGE 'VOB', IF THE ENTRY NUMBER HAS ALREADY BEEN USED AND IS NOT A DRAWBACK CLAIM, THE CLAIM AND DISKETTE WILL BE RETURNED FOR CORRECTION. FOR MESSAGE '546', IF THE ENTRY NUMBER IS A DRAWBACK CLAIM THAT IS IN CUSTOMS STATUS AND THE FILER IS ATTEMPTING TO CHANGE THE DATA, THE DISKETTE WILL BE REJECTED AND CUSTOMS WILL MANUALLY PROCESS ANY CHANGES AS INDICATED ON THE PAPER CLAIM. IF THE ENTRY NUMBER IS <u>NOT</u> A DRAWBACK CLAIM, BOTH THE CLAIM AND DISKETTE WILL BE RETURNED. ACCELERATED DRAWBACK WILL BE PAID UPON CORRECTION (IF NOT PREVIOUSLY PAID).   ABI WILL REJECT THE TRANSMISSION.

B05   **INVALID RECORD TYPE CODE**

VLN   **DRAWBACK CLAIM TYPE INVALID**

These messages indicate the use of a claim type (or an entry type) of OTHER THAN '41', '42', '43', '44', or '45', or '46'.

DISKETTE WILL BE REJECTED FOR CORRECTION.   NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED.   ABI WILL REJECT THE TRANSMISSION.

VLO   **DRAWBACK NAFTA IND INVALID**

This means the filer has used a code of other than 'Y' (yes) or 'N' (no) for whether this is a NAFTA claim. The filer MUST use either 'Y' or 'N' via diskette or a space (no) or '1' (yes) for ABI.

DISKETTE WILL BE REJECTED.   ABI WILL REJECT THE TRANSMISSION.

VLP   **DRAWBACK GOVT CLAIM INVALID**

This means the filer has used a code of other than 'Y' (yes) or 'N' (no) for whether this is a GOVT claim. The filer MUST use either 'Y' or 'N' via diskette or a space (no) or '1' (yes) for ABI.

DISKETTE WILL BE REJECTED.   ABI WILL REJECT THE TRANSMISSION.

VLS   **DRAWBACK INSPECTION IND INVALID**

This means the filer has used a code of other than 'Y' (yes) or 'N' (no) for whether this is an INSPECTION claim. The filer MUST use either 'Y' or 'N' via diskette or a space (no) or '1' (yes) for ABI.

DISKETTE WILL BE REJECTED.   ABI WILL REJECT THE TRANSMISSION.

VLQ   **DRAWBACK EXPORT SUMM IND INVALID**

VNO   **EXPORT SUM INVALID FOR BOND TYPE**

Message VLQ means the filer has used a code of other than 'Y' (yes) or 'N' (no) for whether this is an EXPORT SUMMARY claim. The filer MUST use either 'Y' or 'N' via diskette or a space (no) or '1' (yes) for ABI.

The EXPORT SUMMARY INDICATOR may only be a 'Y' (or '1' if ABI) IF the BOND TYPE code is not '0' (would be either '8' or '9'), UNLESS the GOVT CLAIM indicator is ALSO 'Y'(or '1' if ABI). If 'Y' (or '1' if ABI) is used and the condition is not correct, message VNO appears.

DISKETTE WILL BE REJECTED.   ABI WILL REJECT THE TRANSMISSION.

VLR   **DRAWBACK WAIVER IND INVALID**

VNM   **WAIVER INVALID FOR BOND OR CLAIM**

Message VLR means the filer has used a code of other than 'Y' (yes) or 'N' (no) via diskette or '1' (yes) or space (no) if via ABI for whether this is a WAIVER OF PRIOR NOTICE claim. The filer MUST use either 'Y'('1' if ABI) or 'N'(space if ABI). THE WAIVER INDICATOR may only be 'Y'('1') IF the BOND TYPE code is not '0' (would be either '8' or '9'), and the CLAIM TYPE is NOT '41', '43', or '44' (MANUFACTURING DRAWBACK). If 'Y' ('1') is used and the condition is not correct, message VNM appears.

DISKETTE WILL BE REJECTED.   ABI WILL REJECT THE TRANSMISSION.

DK9   **WARNING - WPN PRIVILEGE NOT ON FILE**

DLA   **WARNING - NO WPN APPV'L - CHK PRIV**

DLB   **WARNING - WPN REVOKED, CHK PRIVS**

Message DK9 is a WARNING (NOT a fatal error) that appears if the claim date is on or after 4/6/1998 and there is no WPN special privilege record on file in ACS. This will occur if the claimant has NOT re-applied for their privileges, or if they have re-applied, but have not yet been put into the file. Claimants have until 4/6/1999 to re-apply. At that time (or such other later time to be determined based on need), this will become a fatal error.

Message DLA is a WARNING (NOT a fatal error) that appears if the claimant HAS applied for the WPN privilege, but has not yet been approved. Message DLB is a WARNING that appears if the claimant is currently in the privilege file showing that WPN is revoked. Claimants have until 4/6/1999 to re-apply for privileges. Message DLA will continue to be a WARNING ONLY after 4/6/1999, however message DLB will become a fatal error on or after 4/6/1999.

THERE IS <u>NO</u> REJECTION OR CORRECTION NECESSARY FOR THESE MESSAGES. THEY ARE SIMPLY ADVISORY MESSAGES AT THIS TIME. ABI OR DISKETTE WILL <u>NOT</u> REJECT THE TRANSMISSION FOR THESE <u>WARNINGS</u>.

VLW   **DRAWBACK ACCELERATED CODE INVALID**

VNN   **ACCEL. NOT ALLOWED FOR BOND TYPE**

Message VLW means the filer has used a code of other than 'Y' (yes) or 'N' (no) if via diskette or 'Y' (yes) or 'N' (no) if via ABI for whether this is an ACCELERATED claim. The filer MUST use either 'Y'('Y' if ABI) or 'N'('N' if ABI) and cannot leave a space in the field. The ACCELERATED INDICATOR may only be a 'Y' IF the BOND TYPE code is not '0' (would be either '8' or '9'), UNLESS the GOVT CLAIM indicator is ALSO 'Y'('1').

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT MAY BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT THE TRANSMISSION.

DLC   **WARNING - ACCEL PRIV NOT ON FILE**

DLD   **WARNING - NO ACCEL APPV'D - CHK PRIVS**

DLE   **WARNING - ACCEL REVOKED, CHK PRIV**

Message DLC is a WARNING (NOT a fatal error) that appears if the claim date is on or after 4/6/1998 and there is no accelerated special privilege record on file in ACS. This will occur if the claimant has NOT re-applied for their privileges, or if they have re-applied, but have not yet been put into the file. Claimants have until 4/6/1999 to re-apply. At that time (or such other later time to be determined based on need), this will become a fatal error.

Message DLD is a WARNING (NOT a fatal error) that appears if the claimant HAS applied for the accelerated privilege, but has not yet been approved. Message DLE is a WARNING that appears if the claimant is currently in the privilege file showing that accelerated is revoked. Claimants have until 4/6/1999 to re-apply for privileges. Message DLD will continue to be a WARNING ONLY after 4/6/1999, however message DLE will become a fatal error on or after 4/6/1999.

THERE IS <u>NO</u> REJECTION OR CORRECTION NECESSARY FOR THESE MESSAGES. THEY ARE SIMPLY ADVISORY MESSAGES AT THIS TIME. ABI OR DISKETTE WILL <u>NOT</u> REJECT THE TRANSMISSION FOR THESE <u>WARNINGS</u>.

VLZ   **DRAWBACK CLAIM DATE INVALID**

VNR   **CLAIM DTE OVER 30 DAYS IN FUTURE**

VNS   **CLAIM DATE CANNOT BE LT 941001**

Message VLZ means that the date is not in a valid YYMMDD (year, month, day) format on the diskette. Message VNR indicates that the date is a valid format, but is greater than 30 days in the future. Future dates **ARE NOT** accepted **for the diskette program**. Dates **up to 30 days in advance ARE** accepted via ABI transmission. For ABI, message **VLZ** will be used if over 30 days in advance. Message **VNS** means that the claim date is prior to October 1, 1994. The disk program is only valid for claims filed on or after that date.

DISKETTE WILL BE REJECTED. ACCELERATED <u>WILL</u> BE PAID UPON CORRECTION, IF CLAIMED AND ELIGIBLE. ABI WILL REJECT THE TRANSMISSION.

VL3   **DRAWBACK DDPP INVALID**

This means that the filer has given us a claim that does not use one of the 8 correct port codes by filing location.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE

UNDER CORRECTED.   ABI WILL REJECT THE TRANSMISSION.

VL4   **DRAWBACK TEAM INVALID**

This means that the filer has given us a claim with an invalid team number.

DISKETTE WILL BE REJECTED.   NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED.   ABI WILL REJECT THE TRANSMISSION.

VL5   **DRAWBACK TEAM INVALID FOR DDPP**

This means that the DDPP/TEAM combination is not correct. This will most often appear in combination with error VL4. There is a direct coded link between the valid filing DDPP codes and the valid team codes.

DISKETTE WILL BE REJECTED.   NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED.   ABI WILL REJECT THE TRANSMISSION.

VL6   **DRAWBACK DDPP MUST = B REC DDPP**

This message means that while the claim DDPP may be correct, it DOES NOT match the DDPP of the drawback filing location (the PC port code that the mainframe recognizes). This message may appear in combination with any other invalid DDPP messages. For ABI, the port code in the 'B' control record of ABI **MUST** match the port code for the claim in the 'D10' record.

THE DISKETTE WILL BE REJECTED. ACCELERATED PAYMENT CAN BE MADE UPON CORRECTION.   ABI WILL REJECT THE TRANSMISSION.

VL7   **DRAWBACK DDPP NOT FOUND**

This means that the filer has used a completely impossible DDPP (one that does not even exist in ACS).

DISKETTE WILL BE REJECTED. NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED.   ABI WILL REJECT THE TRANSMISSION.

VNQ   **DRAWBACK CONTRACT NBR INVALID**

DE5   **CONTRACT NUMBER NOT FOUND**

Message VNQ means that the filer chose to give us a drawback contract number, but the number used DOES NOT exist on the contract file in ACS OR is not a valid format. Message DE5 means that the contract given was not on file in ACS. In most cases, the filer will probably have used an old TD number, not one of the new contract numbers.

DISKETTE WILL BE REJECTED IF A <u>NEW</u> CONTRACT NUMBER IS GIVEN AND DOES NOT EXIST. IF OLD TD NUMBER IS USED, DISKETTE WILL ALSO BE REJECTED. ACCELERATED PAYMENT <u>WILL</u> BE MADE UPON CORRECTION. ABI WILL REJECT THE TRANSMISSION.

DKA   **CONTRACT EXPIRED/REVOKED**

This means that in the claim header ('D11') or the Contract trailer Record ('D12') for one of the contract numbers given, the contract has either expired or been revoked.

DISKETTE WILL BE REJECTED IF THIS CONDITION EXISTS. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT THE TRANSMISSION.

DKC   **DUPLICATE CONTRACT # ENCOUNTERED**

This means that the same contract appears more than once for this claim, either in the 'CH' CLAIM HEADER ('D11') or the 'CN' CONTRACT TRAILER ('D12'). A given contract should only be shown once on a claim.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT THE TRANSMISSION.

VOC   **DRAWBACK FILER NOT ON FILE**

This message means that the filer code given on the diskette DOES NOT exist in ACS.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT THE TRANSMISSION.

VOA   **DBK FLR NOT PERMIITED IN LOCATION**

VL8   **DBK FILER/LOCATION NOT PERMITTED**

The edit that produces these messages ONLY applies to licensed brokers. It is not performed against filers who file their own claims. ACS knows which filers are not licensed. The message indicates that, while the filer IS a licensed broker, the filer DOES NOT have an operating permit within the REGION where the claim is being filed. Message VL8 usually will occur only where there is a mismatch between the license port and the port of the claim.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED.   ABI WILL REJECT THE TRANSMISSION.

176   **INVALID FILER CODE**

VN7   **LICENSE DDPP INVALID**

VN9   **LICENSE DDPP NOT FOUND**

Messages 176, VN7, or VN9 mean that either the filer gave us a DDPP as his license port that DOES NOT exist in ACS, OR WE KEYED A DDPP INTO THE PC LICENSE PORT FIELD THAT DOES NOT EXIST IN ACS.

DISKETTE WILL BE REJECTED.   <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED.   ABI WILL REJECT THE TRANSMISSION.

DKV   **INVALID PETROLEUM INDICATOR**

The only valid indicators on the diskette in the 'CH' header record are 'Y' (petroleum claim) or 'N' (not petroleum claim). For ABI, the 'D11' record will contain a SPACE if not a petroleum claim or a '1' if it is petroleum. Any OTHER indicators given will cause this error.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT THE TRANSMISSION.

DLN   **NAFTA COUNTRY INVALID/MISSING**

The NAFTA country code must be reported if the Drawback NAFTA indicator is a 'Y' on the diskette (yes) or a '1' (yes) for ABI. The country code must be a valid (2 alpha characters) NAFTA country code (ISO CODE). As of January 1, 2001, 'CA' (Canada) and 'MX (Mexico) are the only valid NAFTA countries.

DISKETTE WILL BE REJECTED. ABI WILL REJECT THE TRANSMISSION.

2KU   **INVALID EXPORT DATE FORMAT**

The earliest export date provided in the 'D11' ABI 'JJ' application record must be in YYMMDD format. This error only applies to ABI.

ABI WILL REJECT THE TRANSMISSION.

2KV   **EXPORT DATE > CLAIM DATE**

The earliest export date provided in the ABI 'JJ' application record cannot be greater than the claim date. This error only applies to ABI.

ABI WILL REJECT THE TRANSMISSION.

2KW   **CLAIM DATE > 3 YEARS FROM EXPORT**

The claim date cannot be greater than the earliest export date + 3 years. For example, if the earliest export date is 02/01/00 (000201), the claim date cannot be greater than 02/01/03 (030201). This error only applies to ABI.

ABI WILL REJECT THE TRANSMISSION.

ERROR CATEGORY 5 - CONTRACT TRAILER ERRORS

FOR <u>ALL</u> OF THE FOLLOWING ERRORS, ABI OR DISKETTE <u>WILL</u> REJECT THE TRANSMISSION

VNQ   DRAWBACK CONTRACT NBR INVALID

DE5   CONTRACT NUMBER NOT FOUND

Message VNQ means that the filer chose to give us a drawback contract number, but the number used DOES NOT exist on the contract file in ACS OR is not a valid format. Message DE5 means that the contract given was no on file in ACS. In most cases, the filer will probably have used an old TD number, not one of the new contract numbers.

DISKETTE WILL BE REJECTED IF A <u>NEW</u> CONTRACT NUMBER IS GIVEN AND DOES NOT EXIST. THE ORIGINAL FILING DATE WILL <u>NOT</u> BE RETAINED. IF OLD TD NUMBER IS USED, DISKETTE WILL BE REJECTED. ACCELERATED PAYMENT <u>WILL</u> BE MADE UPON CORRECTION. ABI WILL REJECT TRANSMISSION.

DKA   CONTRACT EXPIRED/REVOKED

This means that in the claim header or the D12 Contract trailer Record for one of the contract numbers given, the contract has either expired or been revoked.

DISKETTE WILL BE REJECTED. THE ORIGINAL FILING DATE <u>WILL BE RETAINED</u>. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT THE TRANSMISSION.

DKC   DUPLICATE CONTRACT # ENCOUNTERED

This means that the same contract appears more than once for this claim, either in the 'CH' CLAIM HEADER (ABI 'D11') or the 'CN' CONTRACT TRAILER (ABI 'D12'). A given contract should only be shown once on a claim.

DISKETTE WILL BE REJECTED. THE ORIGINAL FILING DATE <u>WILL BE RETAINED</u>. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT TRANSMISSION.

DKU   APPV'D RULING REQD FOR DBK PAYMT

This WARNING message (NOT a fatal error) will appear if the drawback claim type is '41' or '44' and no valid ruling (contract) number is given on the diskette or via ABI.

THERE IS <u>NO</u> REJECTION OR CORRECTION NECESSARY FOR THIS MESSAGE. IT IS SIMPLY AN ADVISORY MESSAGE AT THIS TIME. ABI OR DISKETTE WILL <u>NOT</u> REJECT THE TRANSMISSION FOR THIS <u>WARNING</u>.

ERROR CATEGORY 6 - IMPORT TARIFF TRAILER ERRORS

FOR ALL OF THE FOLLOWING ERRORS, ABI OR DISKETTE WILL REJECT THE TRANSMISSION

DKX   D20 RECORD IS REQUIRED

This error message will appear if any import entry cited on the claim has an entry date on or after 4/6/1998. As of that date, the reporting of tariff numbers is required on the claims. At least one ABI 'D20' import tariff record or 'TN' diskette import tariff record containing at least 1 valid tariff number is REQUIRED IF ANY IMPORT ENTRY IS DATED ON OR AFTER 4/6/1998.

DISKETTE WILL BE REJECTED. NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED. ABI WILL REJECT THE TRANSMISSION.

DKZ   TARIFF NOT ON FILE

The program is reading the AES tariff file, not the HSA file in ACS. This is because only the AES file has both import AND Schedule B numbers. The message simply means that the number, at the 6, 8, or 10 digit level (whichever the filer gives us on the disk or via ABI) does not exist on the file. Normally, this occurs when the filer has given 10 digits on OLDER numbers. For older records, if the filer uses 6 digits (or 8 if petroleum) the problem is minimized.

DISKETTE WILL BE REJECTED. NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED. ABI WILL REJECT THE TRANSMISSION.

DKW   DUPLICATE TARIFF

Each tariff number given on a claim can only appear once. This error will occur if a number has been used more than once on the claim as given in any of the diskette 'TN' records or ABI 'D20' records.

DISKETTE WILL BE REJECTED. NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED. ABI WILL REJECT THE TRANSMISSION.

DLH   PETROL TARIFF MUST BE 8 OR 10 DIGIT

If the petroleum indicator is 'Y' on the diskette (a '1' via ABI), meaning that this IS a petroleum claim, the tariff numbers must be reported via the diskette 'TN' or ABI 'D20' records at either the 8 or 10 digit levels. For petroleum, the 6 digit reporting level is not acceptable.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT THE TRANSMISSION.

ERROR CATEGORY 7 - RECONCILIATION ERRORS

FOR ALL OF THE FOLLOWING ERRORS, ABI OR DISKETTE WILL REJECT THE TRANSMISSION

DDA   **NAFTA RECONCILIATION NOT FILED**

A drawback claim CANNOT be accepted for any import entry that has been flagged for reconciliation until the reconciliation entry summary has been filed and paid. This error can possibly occur for import entries that were filed on or after October 1, 1998, were flagged as requiring a reconciliation summary for NAFTA, and the reconciliation summary has NOT yet been filed.

DISKETTE WILL BE REJECTED.   <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT THE TRANSMISSION.

DDB   **OTHER RECONCILIATION NOT FILED**

A drawback claim CANNOT be accepted for any import entry that has been flagged for reconciliation until the reconciliation entry summary has been filed and paid. This error can possibly occur for import entries that were filed on or after October 1, 1998, were flagged as requiring a reconciliation summary for an issue OTHER THAN NAFTA, and the reconciliation summary has NOT yet been filed.

DISKETTE WILL BE REJECTED.   <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT THE TRANSMISSION.

DDC   **NAFTA/OTH RECONCILIATN NOT FILED**

A drawback claim CANNOT be accepted for any import entry that has been flagged for reconciliation until the reconciliation entry summary has been filed and paid. This error can possibly occur for import entries that were filed on or after October 1, 1998, were flagged as requiring a reconciliation summaries for both OTHER and NAFTA issues, and one or both of the reconciliation summaries have NOT yet been filed.

DISKETTE WILL BE REJECTED.   <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT THE TRANSMISSION.

ERROR CATEGORY 8 - SCHEDULE B NUMBER TRAILER ERRORS

FOR ALL OF THE FOLLOWING ERRORS, ABI OR DISKETTE WILL REJECT THE TRANSMISSION

DKY   D25 RECORD IS REQUIRED

This error message will appear if any import entry cited on the claim has an entry date on or after 4/6/2003. As of that date, the reporting of Schedule B numbers is required on the claims. At least one ABI 'D25' Schedule B number record or 'SN' diskette Schedule B number record containing at least 1 valid Schedule B number is REQUIRED IF ANY IMPORT ENTRY IS DATED ON OR AFTER 4/6/2003.

DISKETTE WILL BE REJECTED.   NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED. ABI WILL REJECT THE TRANSMISSION.

DK0   SCHED B NUMBER NOT ON FILE

The program is reading the AES tariff file, not the HSA file in ACS. This is because only the AES file has both import AND Schedule B numbers. The message simply means that the Schedule B number, at the 6, 8, or 10 digit level (whichever the filer gives us on the disk or via ABI) does not exist on the file. Normally, this occurs when the filer has given 10 digits on OLDER numbers. For older records, if the filer uses 6 digits (or 8 if petroleum) the problem is minimized.

DISKETTE WILL BE REJECTED.   NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED. ABI WILL REJECT THE TRANSMISSION.

DK2   DUPLICATE SCHEDULE B NUMBER

Each Schedule B number given on a claim can only appear once. This error will occur if a number has been used more than once on the claim as given in any of the diskette 'SN' records or ABI 'D25' records.

DISKETTE WILL BE REJECTED.   NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED. ABI WILL REJECT THE TRANSMISSION.

DLI   PETROL SCHD B MUST BE 8 OR 10 DIGIT

If the petroleum indicator is 'Y' on the diskette (a '1' via ABI), meaning that this IS a petroleum claim, the Schedule B numbers must be reported via the diskette 'SN' or ABI 'D25' records at either the 8 or 10 digit levels. For petroleum, the 6 digit reporting level is not acceptable.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT THE TRANSMISSION.

ERROR CATEGORY 9 - IMPORT TRAILER ERRORS

FOR <u>ALL</u> OF THE FOLLOWING ERRORS, ABI OR DISKETTE <u>WILL</u> REJECT THE TRANSMISSION

VNT   **DBK IMPORT ENTRY NOT ON FILE**

0AA   **ENTRY NOT FOUND**

These messages mean that the designated import entry DOES NOT exist as given. ACS DOES do a match on the import entry and the port of entry given on the diskette by the filer. If the filer uses an import entry/port combination that is not valid in ACS, this message will be generated. Therefore, either the entry does not exist at all, or it does not exist for the port indicated. NOTE: There are instances where valid entries are NOT in ACS (e.g. - informals that were just done on cash receipts). For these, a special CM number has been established in ACS. If the filer indicates that this is the case, the filer may designate the monies from CM 888888/9900. This CM has been established just for this case where either an informal or mail entry was done on a cash receipt with no ACS entry record created.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT TRANSMISSION.

8PN   **INVALID DIST/PORT FOR ENTRY**

This message means that the import entry DOES exist in ACS, but the port given does not match the port on file with Customs. ACS DOES do a match on the import entry and the port of entry given on the diskette by the filer. If the filer uses an import entry/port combination that is not valid in ACS, this message will be generated.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT TRANSMISSION.

VNU   **INVALID ACS IMPORT ENTRY TYPE**

This means that the entry type for the designated import is not valid for use on a drawback. The VALID entry import entry types are '01', '02', '03', '04', '06', '07', '08', '11', '12', '21', '22', '51', '52', and '53'. If the import has any other entry type, this rejection will occur because the import is NOT a consumption entry or warehouse withdrawal.

DISKETTE WILL BE REJECTED. NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED. ABI WILL REJECT TRANSMISSION.

VLI   DUP ENTRY # ENCOUNTERED/IMPORT

1C6   DUPLICATE ADD ERROR

These messages mean that the import entry cited on the diskette was shown more than once. Since the diskette and ABI transmission are claim summaries, filers were instructed to ONLY show an import entry one time and summarize the total CLAIMED amount for that entry. On the legal paper claim, a single import entry may be shown many times (for example, when they break a claim down by part number), but on the diskette it can only appear once.

THE DISKETTE WILL BE REJECTED FOR CORRECTION. ACCELERATED WILL BE PAID UPON CORRECTION. ABI WILL REJECT TRANSMISSION.

VLX   DRAWBACK CM/CD CODE INVALID

This means that the filer used an indicator of OTHER THAN 'E' (direct designation), 'D' (designation from a CD) or 'M' (designation from a CM, where they are giving us IMPORT ENTRY data because it is available).

DISKETTE WILL BE REJECTED. THE CLAIM WILL NOT BE REJECTED. THE ORIGINAL FILING DATE WILL BE RETAINED. ACCELERATED WILL BE PAID UPON CORRECTION. ABI WILL REJECT TRANSMISSION.

VL1   DBK IMPORT ENTRY DATE INVALID

This means that the date given on the diskette was not a valid date in YYMMDD format. We DO NOT VALIDATE THE ACTUAL DATE OF ENTRY.

REJECT DISKETTE FOR CORRECTION. ACCELERATED WILL BE PAID UPON CORRECTION. ABI WILL REJECT TRANSMISSION.

VL2   DBK IMPORT ENTRY CANNOT = CLAIM #

This means that the filer has erroneously attempted to designate an import entry with the SAME entry number as the drawback claim being made.

DISKETTE WILL BE REJECTED. ACCELERATED PAYMENT <u>CANNOT</u> BE MADE UNTIL CORRECTED. ABI WILL REJECT TRANSMISSION.

VNV   INVALID STATUS ON IMPORT ENTRY

VNW   IMPORT MUST BE IN CUSTOMS STATUS

VNX   IMPORT SUMM DATE MUST BE > SPACES

Message VNV means that the designated import is not in a valid process status. It may be canceled, or may only be a shell payment, or only a release. The filer **will be advised** of the condition.

Message VNW means that the import entry is still in ABI status and has NOT been processed by Customs.

Message VNX means that there is no entry summary date in ACS for the designated import. In all probability, this message will appear in conjunction with message VNW.

DISKETTE WILL BE REJECTED. ACCELERATED PAYMENT <u>CANNOT</u> BE MADE UNTIL CORRECTED. ABI WILL REJECT TRANSMISSION.

8P1   INELIGIBLE - CONSOLIDATED RLSE

This message will occur if an import entry is designated that was NOT an entry summary,

but was a consolidated release. In these cases, the entry that should be cited, if any, would be the consolidated summary against which duties/taxes were paid.

DISKETTE WILL BE REJECTED. ACCELERATED PAYMENT <u>CANNOT</u> BE MADE UNTIL CORRECTED.   ABI WILL REJECT TRANSMISSION.

VNY   **CLAIM DUTY CANNOT EXCEED PAID**

VNZ   **CLAIM TAX CANNOT EXCEED PAID**

These messages mean that the amount of duty/tax claimed against the import for this claim EXCEEDS the total duty originally <u>available</u> for the entry.   These errors will occur if the import entry is being designated for drawback for the first time and the claimed duty/tax amount exceeds the original <u>available</u> amount, **OR** if the claim is a type '46' and the claimed drawback exceeds the **<u>current available</u>** duty/tax. NOTE: There are other odd conditions where this message will occur due to no fault of the filer. If the filer indicates that more monies were paid than are reflected in ACS (e.g. - supplemental duties on a voluntary tender for assists was tendered, but the collection was not posted to the entry), the filer should be instructed to designate the additional monies on CM 999999/9900. This CM was created just for this type of condition. The filer should provide sufficient proof.

DISKETTE WILL BE REJECTED. ACCELERATED PAYMENT <u>CANNOT</u> BE MADE UNTIL CORRECTED.   ABI WILL REJECT TRANSMISSION.

8RA   **CLAIM AMOUNT MUST BE < PD/LIQ AMT**

This message will appear for claim types '41' thru '45' if the claimed amount for an import entry or CM equals the FULL **<u>available</u>** duty/tax amount, since the maximum claimed amount cannot exceed 99 percent thereof. Because there are certain conditions where the claimed drawback may be EQUAL to the full duty/tax paid (e.g. -mdse exported from Customs' custody on which duties were already paid), we allow for this through the use of claim type **'46'** for claims covering these obscure conditions.

DISKETTE WILL BE REJECTED. ACCELERATED PAYMENT <u>CANNOT</u> BE MADE UNTIL CORRECTED.   ABI WILL REJECT TRANSMISSION.

VLV   DRAWBACK DUTY OR TAX REQUIRED

This message means that the filer has left the amount of duty and tax blank. For the claim to be valid, there MUST be either duty or tax (or both) shown.

DISKETTE WILL BE REJECTED.   ACCELERATED PAYMENT WILL BE MADE UPON CORRECTION.   ABI WILL REJECT TRANSMISSION.

8N6   ALLOWABLE DUTY/TAX IS ZERO

This means that the paid or liquidated amount for the import entry was ZERO and that the import is not eligible for the drawback claim.

DISKETTE WILL BE REJECTED.   ACCELERATED PAYMENT <u>CANNOT</u> BE MADE UNTIL CORRECTED.   ABI WILL REJECT TRANSMISSION.

ERROR CATEGORY 10 - CM TRAILER ERRORS

FOR <u>ALL</u> OF THE FOLLOWING ERRORS, ABI OR DISKETTE <u>WILL</u> REJECT THE TRANSMISSION

VLJ   **DUP MFGR # ENCOUNTERED/CERT MFGR**

1C6   **DUPLICATE ADD ERROR**

These messages mean that the filer has given us the same CM number more than once on the claim. Just as with import entries claimed on the diskette or via ABI, the CM number should only appear once with the total drawback claimed for that CM.

DISKETTE WILL BE REJECTED. ACCELERATED PAYMENT WILL BE MADE UPON CORRECTION. ABI WILL REJECT TRANSMISSION.

VN1   **CERT MFGR NBR INVALID**

VN2   **CERT MFGR NBR NOT ON FILE**

0JA   **CERT OF MFG NOT FOUND**

Message VN1 means that the CM number is not in valid CMNNNNNN format (e.g. - CM123456). Messages VN2 and 0JA mean that the format is valid, but the CM number does not exist on ACS at all, OR does not exist for the CM port indicated.

DISKETTE WILL <u>NOT</u> BE REJECTED IF CUSTOMS DETERMINES THAT THE ERROR WAS CAUSED BY CM NOT BEING INPUT INTO ACS. IF CM WAS <u>NOT FILED AT ALL</u>, DISKETTE WILL BE REJECTED. ACCELERATED DRAWBACK WILL <u>NOT</u> BE PAID <u>UNTIL</u> CORRECTED. ABI WILL REJECT TRANSMISSION.

VN3   **CERT MFGR UOM INVALID**

If a unit of measure is given on the CM, it must be a valid 3 alpha-numeric character code (e.g. - BBL, CWT, PCS, etc). Also, it means that if a QUANTITY was given, a unit of measure **MUST** be reported.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT WILL BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT TRANSMISSION.

VNY   **CLAIM DUTY CANNOT EXCEED PAID**

VNZ   **CLAIM TAX CANNOT EXCEED PAID**

These messages mean that the amount of duty/tax claimed against the CM for this claim EXCEEDS the total duty originally <u>available</u> for the CM.   These errors will occur if the CM is being designated for drawback for the first time and the claimed duty/tax amount exceeds the original <u>available</u> amount, **OR** if the claim is a type '46' and the claimed drawback equals or exceeds the **<u>current available</u>** duty/tax.

CLAIM AND DISKETTE WILL BE REJECTED. THE ORIGINAL FILING DATE WILL <u>NOT</u> BE RETAINED.   ACCELERATED PAYMENT <u>CANNOT</u> BE MADE UNTIL CORRECTED. ABI WILL REJECT TRANSMISSION.

8RA   **CLAIM AMOUNT MUST BE < PD/LIQ AMT**

This message will appear for claim types '41' thru '45' if the claimed amount for a CM equals the FULL **<u>available</u>** duty/tax amount, since the maximum claimed amount cannot exceed 99 percent thereof.   Because there are certain conditions where the claimed drawback may be EQUAL to the full duty/tax paid (e.g. -mdse exported from Customs' custody on which duties were already paid), we allow for this through the use of claim type **'46'** for claims covering these obscure conditions.

DISKETTE WILL BE REJECTED. ACCELERATED PAYMENT <u>CANNOT</u> BE MADE UNTIL CORRECTED.   ABI WILL REJECT TRANSMISSION.

VLV   **DRAWBACK DUTY OR TAX REQUIRED**

This message means that the filer has left the amount of duty and tax blank. For the claim to be valid, there MUST be either duty or tax (or both) shown.

DISKETTE WILL BE REJECTED.   ACCELERATED WILL <u>NOT</u> BE PAID <u>UNTIL</u> CORRECTED.   ABI WILL REJECT TRANSMISSION.

8N6   **ALLOWABLE DUTY/TAX IS ZERO**

This means that the paid or liquidated amount for the CM was ZERO and that the CM is not eligible for the drawback claim.

UNTIL FURTHER NOTICE, CUSTOMS WILL ASCERTAIN THE AMOUNT THAT SHOULD BE SHOWN FOR THE CM TO ELIMINATE THIS ERROR. IF STILL INCORRECT, DISKETTE WILL BE REJECTED. THE ORIGINAL FILING DATE WILL <u>NOT</u> BE RETAINED. ACCELERATED PAYMENT <u>CANNOT</u> BE MADE UNTIL CORRECTED. ABI WILL REJECT TRANSMISSION.

<u>ERROR CATEGORY 11</u> – NAFTA COUNTRY IMPORT ENTRY TRAILER ERRORS

FOR <u>ALL</u> OF THE FOLLOWING ERRORS, ABI OR DISKETTE <u>WILL</u> REJECT THE TRANSMISSION

D08   **DATE IS INVALID**

DCJ   **FUTURE DATE INVALID**

'D08' means that the date is not in a valid YYMMDD format. 'DCJ' means that the date given is greater than the system date (today's date). Since the NAFTA Country import would have had to be already filed, this would be impossible.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED.   ABI WILL REJECT TRANSMISSION.

DKI   **NOT NAFTA CLAIM - D50 NOT ALLOWED**

For diskette, the NAFTA indicator was set to 'N' in the 'CH' header record, or for ABI it was set to a space in the 'D50' record. Either would indicate that this claim is NOT a NAFTA claim subject to the "lesser of" rule. Accordingly, we do not want the NAFTA Country import entry data.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED.   ABI WILL REJECT TRANSMISSION.

DKJ   **CLAIM TYPE INVALID FOR NAFTA**

The drawback claim type must be '41', '42', '44', or '45.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED.   ABI WILL REJECT TRANSMISSION.

DKK   **NAFTA CLAIM – NAFTA CTRY DATA REQD**

For diskette, the NAFTA indicator was set to 'Y' in the 'CH' header record, or for ABI, it was set to '1'. Either would indicate that this claim IS a NAFTA claim subject to the "lesser of" rule. Accordingly, we MUST HAVE the NAFTA Country import entry data in the 'CI' (DISKETTE) or 'D50' (ABI) record(s) if the claim type is '41' or '44'. If the claim type is '42' or '45', the NAFTA Country import entry data will be considered optional by ACS, but that does not relieve the filer of the statutory reporting requirement if NAFTA is applicable.

DISKETTE WILL BE REJECTED.   NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED.   ABI WILL REJECT TRANSMISSION.

DKL   INVALID NAFTA CTRY ENT NBR FORMAT

If the NAFTA Country = 'CA', the NAFTA import entry numbers consist of 14 numeric digits, with no alpha or special characters. If the NAFTA Country = 'MX', the NAFTA import entry number may be 14 alpha-numeric characters. For both, ALL 14 characters must be used.

DISKETTE WILL BE REJECTED.   NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED.   ABI WILL REJECT TRANSMISSION.

DKM   INVALID NAFTA CTRY TARIFF FORMAT

NAFTA country tariff numbers should be the same format as ours. They are ten numeric digits, with no alpha or special characters. Should 'MX' tariffs be less than 10 characters, zero-fill any unused positions.

DISKETTE WILL BE REJECTED.   NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED.   ABI WILL REJECT TRANSMISSION.

DKP   CONFLICTING DUTY AMOUNTS

If the NAFTA Country duty amount in the 'CI' (DISKETTE) or 'D50' (ABI) record is greater than '0', the US dollar equivalent duty amount must also be greater than '0'.

DISKETTE WILL BE REJECTED.   NO ACCELERATED PAYMENT CAN BE MADE UNTIL CORRECTED.   ABI WILL REJECT TRANSMISSION.

DKT   IMPORT DATE TOO OLD FOR NAFTA

The NAFTA Country import date (for 'CA' defined as the Canadian "accounting" date) cannot be prior to January 1, 1996. For Mexico, the date cannot be prior to January 1, 2001.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT TRANSMISSION.

ERROR CATEGORY 12 - CLAIM TRAILER ERRORS

FOR THE FOLLOWING ERRORS, ABI OR DISKETTE <u>WILL</u> REJECT THE TRANSMISSION

VLT   **TOT DRAWBACK DUTY AMOUNT INVALID**

VLU   **TOT DRAWBACK TAX AMOUNT INVALID**

These messages mean that the total claimed duty/tax DOES NOT ADD UP from the designated imports/CM's. ACS totals all import entry and CM claimed amounts and compares them to the total that the filer gave in the diskette in the 'CT' trailer record. **NOTE: There is NOW a tolerance of + or - $1.00 allowed on the TOTAL before this message will be generated.**

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT TRANSMISSION.

VLV   **DRAWBACK DUTY OR TAX REQUIRED**

This message means that the filer has left the total amount of duty and tax blank. For the claim to be valid, there MUST be either duty or tax (or both) shown.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT TRANSMISSION.

NOTE: THIS MESSAGE CAN ALSO APPEAR FOR THE INDIVIDUAL IMPORT/CM DESIGNATIONS IF THEY SHOW NO DUTY AND TAX AMOUNT.

DKF   **CLAIM TOTAL MUST EXCEED $0.00**

This message means that, although a claim for $0.00 may be made against an INDIVIDUAL import entry or CM (to cover those cases where the drawback amount due is less than $0.01), the TOTAL drawback claim MUST BE > $0.00 in the 'CT' CLAIM TRAILER RECORDS or ABI 'D90' RECORD.

DISKETTE WILL BE REJECTED. <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT TRANSMISSION.

DKP   **CONFLICTING DUTY AMOUNTS**

If the NAFTA Country duty amount in the 'CT' (DISKETTE) or 'D90' (ABI) record is greater than '0', the US dollar equivalent duty amount must also be greater than '0'.

DISKETTE WILL BE REJECTED.   <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED.   ABI WILL REJECT TRANSMISSION.

DKR   TOTAL NAFTA CTRY DUTY AMT INVALID

DKS   TOTAL US EQUIVALENT DUTY INVALID

The total NAFTA Country duty amount or the US dollar equivalent derived from adding the sum of all 'CI' (DISKETTE) or 'D50' (ABI) records does not agree with the total shown for that category in the 'CT' (DISKETTE) or 'D90' (ABI) record.

DISKETTE WILL BE REJECTED.   <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED.   ABI WILL REJECT TRANSMISSION.

VOX   NOTICE: TOTAL CLAIMS EXCEED BOND

This message will occur once the total of all claims made against a valid 1a drawback bond during the current bond year EXCEED the value of the bond. This is a WARNING message ONLY IF THE DATE OF THE CLAIM IS PRIOR TO APRIL 6, 1998. Both the diskette and ABI process will ACCEPT these claims as long as no other error condition exists.

However, if the date of the claim is ON OR AFTER APRIL 6, 1998, this is a FATAL ERROR and full bond coverage is required.

THERE IS <u>NO</u> REJECTION OR CORRECTION NECESSARY FOR THIS MESSAGE WHEN IT IS A WARNING, PRIOR TO APRIL 6, 1998. IT IS SIMPLY AN ADVISORY MESSAGE. ABI OR DISKETTE WILL <u>NOT</u> REJECT THE TRANSMISSION FOR THIS <u>WARNING</u>.

IF THE CLAIM DATE IS ON OR AFTER APRIL 6, 1998, DISKETTE WILL BE REJECTED.   <u>NO</u> ACCELERATED PAYMENT CAN BE MADE <u>UNTIL</u> CORRECTED. ABI WILL REJECT TRANSMISSION.

INDEX BY ERROR CODE

THE ERROR MESSAGES SHOWN IN QUOTATIONS BELOW ARE GENERATED BY THE DISKETTE WINDOWS PROGRAM, NOT ABI. THESE EDITS ARE IN THE WINDOWS PROGRAM ITSELF, AND IF THESE ERRORS ARE ENCOUNTERED, THE PROGRAM WILL NOT PERMIT TRANSMISSION OF THE DISK DATA TO THE MAINFRAME.

FOR ALL OF THE FOLLOWING ERROR MESSAGES, THE DISKETTE WILL BE REJECTED. THE ORIGINAL FILING DATE WILL BE RETAINED. ACCELERATED WILL <u>NOT</u> BE PAID UNTIL CORRECTED. ABI WILL REJECT THE TRANSMISSION.

"ESTIMATED/CALCULATED DUTY MISMATCH"

The sum of the claimed duties from the 'IT and/or 'CM' records does not agree with the total claimed duties in the 'CT' claim trailer record.

"ESTIMATED/CALCULATED TAX MISMATCH"

The sum of the claimed tax from the 'IT and/or 'CM' records does not agree with the total claimed tax in the 'CT' claim trailer record.

"ESTIMATED/CALCULATED IMPORT ENTRY COUNT MISMATCH"

The total count of all 'IT' records on the diskette does not match the import record count in the 'CT' claim trailer record. Each 'IT' record has a sequence number (0001, 0002, 0003, etc.). The 'CT' record should show the highest sequence number. The program counts each 'IT' record and uses the 'CT' counter to make sure there are no missing records.

"ESTIMATED/CALCULATED CM COUNT MISMATCH"

The total count of all 'CM' records on the diskette does not match the CM count in the 'CT' claim trailer record. Each 'CM' record has a sequence number (0001, 0002, 0003, etc.). The 'CT' record should show the highest sequence number. The program counts each 'CM' record and uses the 'CT' counter to make sure there are no missing records.

"ESTIMATED/CALCULATED TARIFF COUNT MISMATCH"

The total count of all 'TN' records on the diskette does not match the Import Tariff record count in the 'CT' claim trailer record. Each 'TN' record has a sequence number (0001, 0002, 0003, etc.). The 'CT' record should show the highest sequence number. The program counts each 'TN' record and uses the 'CT' counter to make sure there are no missing records.

"TARIFF COUNT EXCEEDS MAXIMUM"

The total number of 'TN' records exceeds 2000. Because of programming restraints, we allowed for a maximum of 2000 'TN' records with a total of 9,999 tariff numbers.

"ESTIMATED/CALCULATED SCHEDB COUNT MISMATCH"

The total count of all 'SN' records on the diskette does not match the Schedule B Number record count in the 'CT' claim trailer record. Each 'SN' record has a sequence number (0001, 0002, 0003, etc.). The 'CT' record should show the highest sequence number. The program counts each 'SN' record and uses the 'CT' counter to make sure there are no missing records.

"SCHEDB COUNT EXCEEDS MAXIMUM"

The total number of 'SN' records exceeds 2000. Because of programming restraints, we allowed for a maximum of 2000 'SN' records with a total of 9,999 Schedule B numbers.

"MISSING RECORD TYPE CT (CLAIM TRAILER)"

There is no 'CT' claim trailer record on the diskette file, or the program cannot read the 'CT' record. This appears to be a common error for many filers.

"INVALID SEQUENCE OF RECORD TYPES (CH .. CT)"

For the diskette, the correct sequence of records is: 'CH', 'CN' (if used), 'TN' (if tariffs reported), 'SN' (if Schedule B numbers reported), 'IT' (if import entries used), 'CM' (if CM's designated), 'CI' (for NAFTA claims), 'CT'. The 'ET' record, if given, comes right after the 'CM' and right before any 'CI' record. This record should not be used.
"INVALID RECORD TYPE"
"VALID RECORD TYPES: CH, CN, TN, SN, IT, CM, ET, CI, CT"

The diskette contains a record type unknown to the program, or the program is misreading the record because of a diskette format problem.

"NAFTA CLAIM: NAFTA CTRY DATA REQD"

The NAFTA indicator in the 'CH' record = 'Y' and no NAFTA country code has been provided in positions 131-132 of the 'CH' record.

"INVALID NAFTA CTRY ENT NBR FORMAT"

The NAFTA Country entry number given in the 'CI' record must be 14 characters long. If the NAFTA Country = 'CA', the number must be 14 numerics. If the NAFTA country is 'MX', the number may be 14 alpha-numeric characters (but must still be a full 14 characters).

"NAFTA CTRY ENT NBR LENGTH MUST BE 14"

The NAFTA country entry number provided in the 'CI' record must be 14 characters long. It cannot contain any spaces.

"CI ENTRY DATE NOT NUMERIC"

In the 'CI' record, the entry date in positions 22-27 must be numeric.

"TARIFF NBR NOT NUMERIC"

In the 'CI' record, the tariff number in positions 28-37 must be numeric.

GENERAL NOTE: A WINDOWS MESSAGE INDICATING THAT CLAIM DOES NOT HAVE A VALID HEADER MEANS THAT THE PROGRAM CANNOT READ THE DATA ON THE DISKETTE. THIS HAPPENS IF THERE ARE BLANK LINES BEFORE THE 'CH' RECORD, OR THEY ACTUALLY PUT THE TEXT FILE NAME IN FRONT OF THE 'CH', OR THERE SIMPLY COULD BE A BAD DISK.